



# Responsible Land Governance: Towards an Evidence Based Approach

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## Downscaling Land Use Data: Pixel Level Cropland Allocation and the Impacts of Biophysical Factors

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### Introduction

Land allocation has long been a focus for policymakers across the world. It is also an important research focus in the academic community. Researchers treat land use patterns as a means to assess the impact of various human activities on ecological-environmental systems and to inform public policy. Observational cropland allocation data is widely available only at an aggregate level, which is typically defined as national or subnational (i.e., state/provincial, and in some cases district/county).

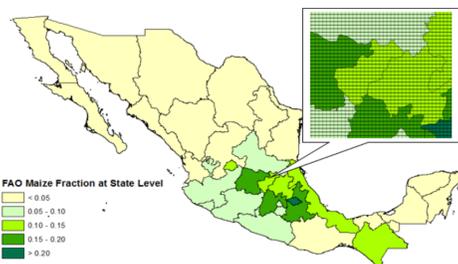


Figure 1. Observed FAO maize fraction data for Mexico at state level and zoomed in pixelated land area.

**Objective:** to fill the gap between the need for generally unavailable fine-scale land allocation data that is based on observational measurements, and to contribute to the scientific understanding of cropland allocation across a large geographic area at a fine-scale.

### Theoretical Framework

We are interested in estimating the parameters  $\beta$  in the conditional mean for pixel level share  $z_{ijk}$ :

$$E[z_{ijk} | x_{ij}] = G_{ijk}(x_{ij}, \beta_k) \quad (1)$$

where  $j$  denotes administrative units,  $k$  represents crops.  $y_{jk}$  is the observed fraction of land area in administrative unit  $j$  that is in crop  $k$ ,  $0 \leq y_{jk} \leq 1$ .  $z_{ijk}$  is the (unobserved) fraction of cropped land in pixel  $i$  in administrative unit  $j$  that is cropped in crop  $k$ , where  $i = \{1, 2, \dots, I_j\}$  is the pixel index that allows the total number of pixels in each administrative unit to vary.  $x_{ij}$  is an  $M$ -dimensional vector of observable land attributes for pixel  $i$  in administrative unit  $j$ . We parameterize  $G(\cdot)$  using a logistic function, and the predicted fraction of land in crop  $k$  in pixel  $i$  in administrative unit  $j$  becomes:

$$G_{ijk}(x_{ij}, \beta_k) = \frac{\exp(x_{ij}\beta_k)}{\sum_{l=1}^K \exp(x_{ij}\beta_l)} \quad \text{where } \beta_1 \equiv 0. \quad (2)$$

The predicted fraction of land in crop  $k$  in administrative unit  $j$  is:

$$H_{jk} = \frac{\sum_{i \in I_j} G_{ijk}(x_{ij}, \beta_k) A_{ij}}{\sum_{i \in I_j} A_{ij}} \quad (3)$$

where  $A_{ij}$  is the area of pixel  $i$  in state  $j$ . Given  $H_{jk}$  the log-likelihood function to be maximized with respect to the parameters  $\beta_k$  is:

$$\sum_{j=1}^J \sum_{k=1}^K y_{jk} \ln(H_{jk}). \quad (4)$$

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### Data and Results

Table 1. Parameter estimates for the maize model

| Variable                  | Coefficient | Standard Error |
|---------------------------|-------------|----------------|
| Intercept                 | -7.1552***  | 1.9488         |
| Temperature               | 0.5818***   | 0.1575         |
| Temperature Squared       | -0.0212***  | 0.0041         |
| Precipitation             | -0.5780     | 0.7133         |
| Precipitation Squared     | -0.5841***  | 0.1407         |
| Temperature-Precipitation | 0.1142***   | 0.0278         |
| Elevation                 | -0.0623     | 0.2810         |
| Max(pH6.5-pH,0)           | -0.9227***  | 0.2031         |
| Max(pH-pH6.5,0)           | -1.6218***  | 0.3832         |
| Soil Carbon               | 0.1566***   | 0.0467         |
| Slope                     | -6.1423**   | 2.2885         |
| Latitude                  | 0.0442**    | 0.0148         |
| Latitude Squared          | 0.0004      | 0.0005         |

\* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$

Table 2. Implied marginal effects and odds ratios for the multi-crop model

| Variable         | Maize           |            | Soybeans        |            | Wheat           |            |
|------------------|-----------------|------------|-----------------|------------|-----------------|------------|
|                  | Marginal Effect | Odds Ratio | Marginal Effect | Odds Ratio | Marginal Effect | Odds Ratio |
| Temperature      | -0.0119         | 0.7330     | -0.0248         | 0.7585     | -0.0051         | 1.1831     |
| Precipitation    | 0.2041          | 3.9022     | -0.2257         | 32.8382    | -0.0134         | 2.7792     |
| Elevation        | 0.2219          | 10.0657    | -0.4400         | 0.0382     | -0.0024         | 70.6299    |
| Max(pH6.5-pH, 0) | 0.0066          | 0.8911     | -0.0788         | 0.5189     | -0.0046         | 3.0646     |
| Max(pH-pH6.5, 0) | -0.0968         | 0.3468     | -0.0061         | 1.1989     | 0.0050          | 9.5469     |
| Soil Carbon      | 0.0079          | 1.0947     | -0.0049         | 0.9820     | 0.0018          | 1.0646     |
| Slope            | 0.5607          | 18.7818    | -1.2198         | 0.0002     | -0.3749         | 0.0001     |
| Latitude         | 0.0163          | 1.3702     | 0.0107          | 1.3750     | 0.0021          | 1.1277     |

We consider two empirical applications:

- Single-crop for maize
- Multi-crop for maize, soybeans, and wheat.

Both focus on harvested crop area throughout North, Central and South America.

The total areas of land harvested in maize, soybeans, and wheat at Administrative Unit Level 1 are collected from FAO Agro-MAPS.

Independent variables include average temperature over the growing season (New et al., 1999), average annual total precipitation (New et al., 1999), elevation (United States National Geophysical Data Center TerrainBase global model of terrain and bathymetry (1995)), soil pH (IGBP-DIS, 1998), soil carbon content (IGBP-DIS, 1998), slope (IIASA/FAO, 2012), and latitude.

### Model Validation and Conclusion

We compare the crop fraction predicted by our model to the actual crop fraction reported by FAO Agro-MAPS at both Administrative Levels 1 and 2, considering both in-sample and out-of-sample validation. The points generally cluster around the 45 degree line at both levels for both models, indicating that our models generally predict well.

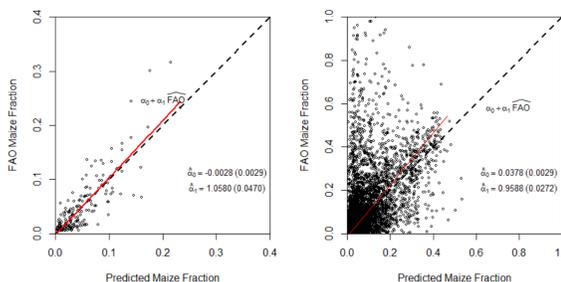


Figure 2. Comparison between the predicted maize versus observed FAO maize area fraction at Administrative Levels 1 (left) and 2 (right) for the maize model

Validation Illustration: the south-eastern part of Hidalgo is home to the Valley of Mexico, a largely agricultural area. Our model correctly identifies that.

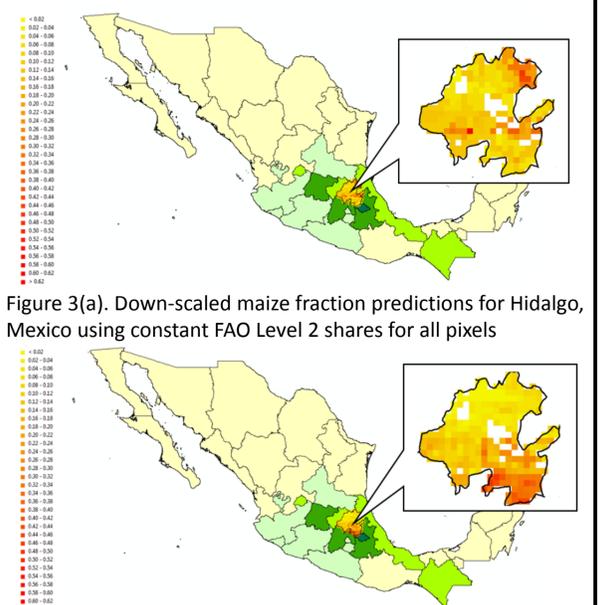


Figure 3(a). Down-scaled maize fraction predictions for Hidalgo, Mexico using constant FAO Level 2 shares for all pixels

Figure 3(b). Down-scaled maize fraction predictions for Hidalgo, Mexico using the estimated pixel-specific maize shares

### References

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